FORM PTO-1390 (REV 5-93)

## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 100564-00107

DATE: June 17, 2002

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

		CONCERNING A FILING UNDER 35	U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.5) 10/069,973						
		ATIONAL APPLICATION NO. 0/08812	INTERNATIONAL FILING DATE September 8, 2000	PRIORITY DATE CLAIMED September 10, 1999					
TIT	TITLE OF INVENTION: ANT-1 AS DRUG TARGET								
APF	APPLICANT(S) FOR DO/EO/US: Stefan GRIMM, Manuel BAUER, Alexis SCHUBERT and Ursula CRAMER								
1.		This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. (THE BASIC FILING FEE IS ATTACHED)							
2.	$\boxtimes$	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.							
3.		This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).							
4.		A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date.							
5.		A copy of the International Application as filed [35 U.S.C. 371(c)(2)] a.  is transmitted herewith (required only if not transmitted by the International Bureau). b.  has been transmitted by the International Bureau. c.  is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.		A translation of the International Application into English [35 U.S.C. 371(c)(2)].							
7.		Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]  a.  are transmitted herewith (required only if not transmitted by the International Bureau).  b.  have been transmitted by the International Bureau.  c.  have not been made; however, the time limit for making such amendments has NOT expired.  d.  have not been made and will not be made.							
8.		A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].							
9.	$\boxtimes$	An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].							
10.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].							
Iter	ns 11	I - 16 below concern other document(s) or information	included:						
11.		An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.							
12.	$\boxtimes$	An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.							
13.		A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.							
14.		A substitute specification.							
15.		A change of power of attorney and/or address letter.							
16.		Other items or information:							

ILS APPLN NO (IF KNOWN SEE 37 C.F.R. 1.50) 10/069,973		INTERNATIONAL APPLICATION NO. PCT/EP00/08812		ATTORNEY DOCKET NO. 100564-00107	
				DATE: June 17, 2002	
17.  The following fees are submitted:  Basic National Fee [37 C.F.R. 1.492(a)(1)-(5)]: Search Report has been prepared by the EPO or JPO\$890.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482)				CALCULATIONS P	TO USE ONLY
ENTER APP	ROPRIATE BASIC	FEE AMOUNT =		\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than   20   30 months from the earliest claimed priority date  [37 C.F.R. 1.492(e)].				\$ 130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	- 20 =		X \$ 18.00	\$	
Independent Claims	- 3 =	2	X \$ 84.00	\$	
Multiple dependent claim(s)	Multiple dependent claim(s) (if applicable) + \$280.00				
TO	OTAL OF ABOVE	CALCULATIONS =		\$ 130.00	
Reduction by one-half for filing by small entity. The applicant is entitled to small entity status.  SUBTOTAL =				\$ 65.00	
				\$ 65.00	
Processing fee of \$130.00 f later the ☐ 20 ☐ 30 month [37 C.F.R. 1.492(f)].	or furnishing the Er s from the earliest o	\$			
TOTAL NATIONAL FEE =				\$ 65.00	
Fee for recording the enclor must be accompanied by a (37 C.F.R. 3.28, 3.31). \$40	n appropriate cover	C.F.R. 1.21(h)]. The sheet	e assignment	\$ 40.00	
TOTAL SEED SHOULDSED T				\$ 105.00	
/2002 GEREY1 00000034 10	0699731 AL FEES E			Amount to be refunded	\$

	⋈	A check in the amount of \$105.00 to cover the above fees is enclosed
1.	$\triangle$	A CHECK III the amount of the second

Please charge my Deposit Account No. 01-2300 in the amount of \$ to cover the above fee.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive [37 C.F.R. 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: Arent Fox Kintner Plotkin & Kahn 1050 Connecticut Avenue, N.W. Suite 400

Washington, D.C. 20036-5339

Tel: (202) 857-6000 Fax: (202) 638-4810

RBM/baw

TECH/103350.1

Robert B. Murray Reg. No. 22,980

A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to c. 🔯 Deposit Account No. 01-2300.



### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT United States Patent and Tradomark Office Washington, E.C., 2023

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/069,973 Stefan Grimm 100564-00107

INTERNATIONAL APPLICATION NO.

Arent Fox Kintner Plotkin & Kahn 1050 Connecticut Avenue NW Suite 400 Washington, DC 20036-5339 
 LA. FILING DATE
 PRIORITY DATE

 09/08/2000
 09/10/1999

PCT/EP00/08812

CONFIRMATION NO. 9410
371 FORMALITIES LETTER
\*OC000000008193106\*

Date Mailed: 06/04/2002

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- · Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHELBY J VIGIL

Telephone: (703) 305-3653

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/069,973	PCT/EP00/08812	100564-00107	

FORM PCT/DO/EO/905 (371 Formalities Notice)